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| Substitute for form 1449A/B/PTO                                                                   |   |    |   | <b>Complete if Known</b> |                        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number       | 09/912,628-Conf. #5463 |
|                                                                                                   |   |    |   | Filing Date              | July 26, 2001          |
|                                                                                                   |   |    |   | First Named Inventor     | Jian Ni                |
|                                                                                                   |   |    |   | Art Unit                 | 1647                   |
|                                                                                                   |   |    |   | Examiner Name            | F. Hamud               |
| Sheet                                                                                             | 1 | of | 1 | Attorney Docket Number   | PT001P2                |

| U.S. PATENT DOCUMENTS |                       |                                                             |                                |                                                    |                                                                                 |
|-----------------------|-----------------------|-------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                       |                                                                                                |                                |                                                    |                                                                                 |                |
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| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                       |                                                                                                |                                |                                                    |                                                                                 |                |

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| NON PATENT LITERATURE DOCUMENTS |                       |                                                                                                                                                                                                                                                                 |                |  |
|---------------------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |
| FH                              | A                     | GenBank Accession No. AW205887, NCI-CGAP, "UI-H-B11-afw-d-02-0-UI.s1 NCI_CGAP_Sub3 Homo sapiens cDNA clone IMAGE:2723354 3', mRNA sequence" (Dec. 2, 1999), <i>Strausberg</i>                                                                                   |                |  |
| FH                              | B                     | GenPept Accession No. NP_004559, SUN et al., "serine (or cyteine) proteinase inhibitor, clade B (ovalbumin), member 6; protease inhibitor 6 (placental thrombin inhibitor) [Homo sapiens]" (Oct. 5, 2003), <i>Wistow et al.</i>                                 |                |  |
| FH                              | C                     | TEN BERGE et al., "Expression levels of apoptosis-related proteins predict clinical outcome in anaplastic large cell lymphoma," <i>Blood</i> , 99(12):4540-4546 (June 15, 2002).                                                                                |                |  |
| FH                              | D                     | SPRECHER et al., "Molecular Cloning, Expression, and Partial Characterization of Two Novel Members of the Ovalbumin Family of Serine Proteinase Inhibitors," <i>J. Biol. Chem.</i> , 270(50):29854-29861 (Dec. 15, 1995).                                       |                |  |
| FH                              | E                     | HIRST et al., "The Intracellular Granzyme B Inhibitor, Proteinase Inhibitor 9, Is Up-Regulated During Accessory Cell Maturation and Effector Cell Degranulation, and Its Overexpression Enhances CTL Potency," <i>J. Immunol.</i> , 170:805-815 (2003).         |                |  |
| FH                              | F                     | COUGHLIN et al., "Cloning and Molecular characterization of a human intracellular serine proteinase inhibitor," <i>PNAS USA</i> , 90:9417-9421 (Oct. 1993).                                                                                                     |                |  |

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|--------------------|-----------------|-----------------|----------|
| Examiner Signature | <i>F. Hamud</i> | Date Considered | 01/24/04 |
|--------------------|-----------------|-----------------|----------|